

NATIONAL BOARD OF ACCREDITATION

Compliance Report Format (Tier – I/Tier – II)

PART- A: Institutional Information

(To be filled only once for all the programs under consideration)

A1. Name and Address of the College:-

City: -

State:-

Pin Code:-

Phone No (including STD Code):-

Fax

Website: -

E-mail:-

A2. Year of Establishment:-

A3. First Approval Letter No.:

Date:

A4. Head of the Institution:-

Name: -

Designation:-

Nature of Appointment:-

Phone No: -

Mobile:-

E-mail:-

Fax No:-

A5. Name and Address of the Affiliating University:-

City:-

State: -

Pin Code:-

Website: -

E-mail:-

Phone No (Including STD Code):-

Fax:-

A6. Type of the Institution:

Institute of National Importance

Autonomous

University

*Any other (Please specify)

Deemed University

*Provide Details:

A7. Ownership Status:

Central Government

Trust

State Government

Society

Government Aided

Section 25 Company

Self-financing

*Any Other (Please specify)

*Provide Details:

A8. Students Admissions (Institute level considering all UG programs):

Item	CAY 2016-17	CAYm1 2015-16	CAYm2 2014-15	Total
Sanctioned intake				
Number of students admitted (Corresponding to sanctioned intake)				
% of Students Admitted over last three assessment years (Total Admitted/Sanctioned Intake)				

Kindly note that the year mentioned here is exemplary, institute has to consider the academic years as per the definition of CAY given in the document and according to the prevailing year.

Table A8

CAY: Current Academic Year

CAYm1: Current Academic Year minus 1 = Current Assessment Year

CAYm2: Current Academic Year minus 2 = Current Assessment Year minus 1

A9. Details of the Students actually admitted through Lateral Entry/Separate Division

Item	CAY	CAYm1	CAYm2
Number of students admitted through Lateral Entry			
Number of students admitted through Separate Division			
Total Number of students admitted in the second year			

Note: Provide student details of the second shift (if applicable)

A10. Provide separate Information for each of the program(s) for which compliance is to be submitted

Name of the Department	Name of the Program being offered	Name of the program to be considered	Year of Start	Intake	Increase in intake, if any	Year of increase	AICTE Approval	Accreditation Status*

* **Write applicable one:**

- Granted provisional accreditation for two /three years for the period(specify period)
- Granted accreditation for 5 / 6 years for the period (specify period)
- Not accredited (specify visit dates, year)
- Withdrawn (specify visit dates, year)
- Not eligible for accreditation
- Eligible but not applied

PART B- Program Information

B1. Name of the Program _____

B2. Faculty Information and Contributions

Please provide the list of faculty in the department according to the below format as **Appendix I**

S. No.	Name	PAN No.	Qualification	Area of Specialization	Designation	Date of Joining	Date on which Designated as Professor/ Associate Professor	Currently Associated (Y/N)	Nature of Association (Regular/Contract/ Adjunct)	If contractual mention Full time or Part time	Date of Leaving (In case Currently Associated is "No")
1.											
..											
N.											

B.2.1. Student Faculty Ratio (No of Faculty as per the sanctioned intake):-

(To be calculated at Department Level)

No. of UG Programs in the Department (n): _____

No. of PG Programs in the Department (m): _____

No. of Students in UG 2nd Year= **u1**

No. of Students in UG 3rd Year= **u2**

No. of Students in UG 4th Year= **u3**

No. of Students in PG 1st Year= **p1**

No. of Students in PG 2nd Year= **p2**

No. of Students = Sanctioned Intake + Actual admitted lateral students

(The above data to be provided considering all the UG and PG programs of the department)

S=Number of Students in the Department = UG1 + UG2 +UG3 + PG1 + PG2

F = Total Number of Faculty Members in the Department (excluding first year faculty)

Student Faculty Ratio (SFR) = S / F

Year	CAY	CAYm1	CAYm2
u1.1			
u1.2			
u1.3			
UG1	u1.1+u1.2+u1.3	u1.1+u1.2+u1.3	u1.1+u1.2+u1.3
...			
Un.1			
Un.2			
Un.3			
Ugn	Un.1+Un.2+Un.3	Un.1+Un.2+Un.3	Un.1+Un.2+Un.3
p1.1			
p1.2			
PG1	p1.1+p1.2	p1.1+p1.2	p1.1+p1.2
....			
pm.1			
pm.2			
PGm	pm.1+pm.2	pm.1+pm.2	pm.1+pm.2

Total No. of Students in the Department (S)	UG1 + UG2 +.. +Ugn + PG1 + ...PGm=S1	UG1 + UG2 + .. +Ugn + PG1+... + PGm=S2	UG1 + UG2 + .. +Ugn + PG1+... + PGm=S3
No. of Faculty in the Department (F)	F1	F2	F3
Student Faculty Ratio (SFR)	SFR1=S1/F1	SFR2= S2/F2	SFR3= S3/F3
Average SFR	SFR=(SFR1+SFR2+SFR3)/3		

B2.2. Faculty Details of the Department (UG+PG):

S.no.	Designation	CAYm1 2016-17			CAY 2017-18		
		With PhD.		Without PhD.	With PhD.		Without PhD.
		Regular	Contractual		Regular	Contractual	
a.	Professors						
b.	Associate Professors						
c.	Assistant Professors						
d.	Total number of Faculty in the Department (UG+PG)						

B2.3. Faculty Cadre Proportion

The reference Faculty cadre proportion is 1(F1):2(F2):6(F3)

F1: Number of Professors required = $1/9 \times$ Number of Faculty required to comply with 20:1

Student-Faculty ratio based on no. of students (N) as per B2.1

F2: Number of Associate Professors required = $2/9 \times$ Number of Faculty required to comply with 20:1 Student-Faculty ratio based on no. of students (N) as per B2.1

F3: Number of Assistant Professors required = $6/9 \times$ Number of Faculty required to comply with 20:1 Student-Faculty ratio based on no. of students (N) as per B2.1

Year	Professors		Associate Professors		Assistant Professors	
	Required F1	Available	Required F2	Available	Required F3	Available
CAY						
CAYm1						
CAYm2						
Average Numbers	RF1=	AF1=	RF2=	AF2=	RF3=	AF3=

B2.4. Faculty as participants in Faculty development/training activities/STTPs

Name of the Faculty	Details of the participation (Faculty development/training activities/STTPs)		
	CAYm1	CAYm2	CAYm3

B2.5. Research and Development

Name of the faculty	Academic Research			
	Number of quality publications in refereed/SCI Journals, citations, Books/Book Chapters etc.		Ph.D. guided /Ph.D. awarded during the assessment period while working in the institute	
	As provided in SAR	After evaluation (till the date of compliance report)	As provided in SAR	After evaluation (till the date of compliance report)

B2.6. Sponsored Research/Consultancy

(B) Details as provided in the SAR previously

Name of the faculty	Project Title	Project Type Research/Consultancy	Funding Agency	Amount	Duration

(II) Details after evaluation (till the date of Compliance Report)

Name of the faculty	Project Title	Project Type Research/Consultancy	Funding Agency	Amount	Duration

B.3. Students' Performance

Student Intake Table

Item (Information to be provided cumulatively for all the shifts with explicit headings, wherever applicable)	CAY	CAYm1	CAYm2	CAYm3
Sanctioned intake of the program (N)				
Total number of students admitted in first year <i>minus</i> number of students migrated to other programs/institutions, plus no. of students migrated to this program (N1)				
Number of students admitted in 2 nd year in the same batch via lateral entry (N2)				
Separate division students, if applicable (N3)				
Total number of students admitted in the Program (N1 + N2 + N3)				

Academic Performance Table

Year of entry	N1 + N2 + N3 (As defined above)	Number of students who have successfully graduated			
		I Year	II Year	III Year	IV Year
CAY					
CAYm1					
CAYm2					
CAYm3					
CAYm4 (LYG)					
CAYm5 (LYGm1)					
CAYm6 (LYGm2)					

B3.1. Success rate without backlog in stipulated period

SI= (Number of students who graduated from the program without backlog in the stipulated period of course duration)/ (Number of students admitted in the first year of that batch and admitted in 2nd year via lateral entry and separate division, if applicable)

Item	Latest Year of Graduation, LYG	Latest Year of Graduation minus 1, LYGm1	Latest Year of Graduation minus 2, LYGm2
Number of students admitted in the corresponding First Year + admitted in 2 nd year via lateral entry and separate division, if applicable			
Number of students who have graduated without backlogs in the stipulated period			
Success Index (SI)			
Average Success Index			

B3.2. Success rate with backlog in stipulated period of study

SI= (Number of students who graduated from the program with backlog in the stipulated period of course duration)/ (Number of students admitted in the first year of that batch and admitted in 2nd year via lateral entry and separate division, if applicable)

Item	LYG (CAYm4)	LYGm1(CAYm5)	LYGm2 (CAYm6)
Number of students admitted in the corresponding First Year + admitted in 2 nd year via lateral entry and separate division, if applicable			
Number of students who have graduated with backlogs in the stipulated period			
Success Index (SI)			
Average Success Index			

B3.3. First Year Academic Performance

Academic Performance = ((Mean of 1st Year Grade Point Average of all successful Students on a 10 point scale) or (Mean of the percentage of marks in First Year of all successful students/10)) x (number of successful students/number of students appeared in the examination)

Successful students are those who are permitted to proceed to the second year.

Academic Performance	CAYm1	CAYm2	CAYm3
Mean of CGPA or Mean Percentage of all successful students (X)			
Total no. of successful students (Y)			
Total no. of students appeared in the examination (Z)			
API = $x * (Y/Z)$	AP 1	AP 2	AP 3
Average API = $(AP1 + AP2 + AP3)/3$			

B3.4. Academic Performance in Second Year

API = ((Mean of 2nd Year Grade Point Average of all successful Students on a 10 point scale) or (Mean of the percentage of marks of all successful students in Second Year/10)) x (number of successful students/number of students appeared in the examination)

Successful students are those who are permitted to proceed to the Third year.

Academic Performance	CAYm1	CAYm2	CAYm3
Mean of CGPA or Mean Percentage of all successful students (X)			
Total no. of successful students (Y)			
Total no. of students appeared in the examination (Z)			
API = $X * (Y/Z)$	AP1	AP2	AP3
Average API = $(AP1 + AP2 + AP3)/3$			

B3.5. Academic Performance in Third Year

API = ((Mean of 3rd Year Grade Point Average of all successful Students on a 10 point scale) or (Mean of the percentage of marks of all successful students in Third Year/10)) x (number of successful students/number of students appeared in the examination)

Successful students are those who are permitted to proceed to the final year.

Academic Performance	CAYm1	CAYm2	CAYm3
Mean of CGPA or Mean Percentage of all successful students (X)			
Total no. of successful students (Y)			
Total no. of students appeared in the examination (Z)			
API = $x * (Y/Z)$	AP 1	AP 2	AP 3
Average API = $(AP1 + AP2 + AP3)/3$			

B3.6.Placement, Higher Studies and Entrepreneurship

Item	CAYm1	CAYm2	CAYm3
Total No. of Final Year Students (N)			
No. of students placed in companies or Government Sector (x)			
No. of students admitted to higher studies with valid qualifying scores (GATE or equivalent State or National Level Tests, GRE, GMAT etc.) (y)			
No. of students turned entrepreneur in engineering/technology (z)			
$x + y + z =$			
Placement Index : $(x + y + z)/N$	P1	P2	P3
Average placement= $(P1 + P2 + P3)/3$			

PART C. Criterion wise Compliance Status

S.N.	Criteria	Observations made by NBA (During the last accreditation visit)	Compliance Status (Action taken by the institution)
1	Vision, Mission & PEOs		
1.1.	Formulation		
1.2.	Dissemination		
1.3.	Assessment		
1.4.	Any other observations of the NBA		
2	Course outcome and Program outcomes		
2.1.	Formulation		
2.2.	Mapping		
2.3.	Any other observations of the NBA		
3.	Curriculum Design, if applicable		
3.1.	Process to identify the gap, if applicable and action taken thereof		
3.2.	Curriculum Structure & Component (as applicable)		
3.3.	Any other observations of the NBA		
4	Details of the Action taken on the Observation of NBA during last visit:		

Declaration

It is hereby declared that information provided in this Compliance Report is factually correct. I understand and agree that an appropriate action against the Institute will be initiated by the NBA (which may include debarring the institution for three years), in case any false statement/information is observed during the assessment of the compliance report.

Date:

Signature & Name

Place:

Head of the Institution with seal