NATIONAL BOARD OF ACCREDITATION

Compliance Report Format
(UG Pharmacy)

PART- A: Institutional Information

A1. Name and Address of the College:-
City:-   State:-

Pin Code:-

Phone No (including STD Code):-   Fax

Website:-   E-mail:-

A2. Year of Establishment:-

A3. First Approval Letter No.:   **Date:**

A4. Head of the Institution:-
Name:-   Designation:-

Nature of Appointment:-

Phone No:-   Mobile:-

E-mail:-   Fax No:-

A5. Name and Address of the Affiliating University:-
City:-

State:-   Pin Code:-

Website:-   E-mail:-

Phone No (Including STD Code):-   Fax:-

A6. Type of the Institution:

Institute of National Importance	☐	Autonomous	☐
University	☐	*Any other (Please specify)	☐
Deemed University	☐		

*Provide Details:

A7. Ownership Status:

Central Government	☐	Trust	☐
State Government	☐	Society	☐
Government Aided	☐	Section 25 Company	☐
Self financing	☐	*Any Other (Please specify)	☐

*Provide Details:

A8. Students Admissions (In First year at institute level)

A8.1- For UG Program

Item	CAY	CAYm1	CAYm2
Sanctioned intake			
Number of students admitted			
Total Admitted/Sanctioned Intake= % Admitted			
Average % Admitted			

<div align="center">Table A8.1</div>

A8.2- For all PG Programs

Item	CAY	CAYm1	CAYm2
Sanctioned intake			
Number of students admitted			
Total Admitted/Sanctioned Intake= % Admitted			
Average % Admitted			

<div align="center">Table A8.2</div>

CAY: Current Academic Year

CAYm1: Current Academic Year minus 1 = Current Assessment Year

CAYm2: Current Academic Year minus 2 = Current Assessment Year minus 1

Note: Academic year is July to June

A9. Student Admission details at Lateral Entry/Separate Division

Item	CAY	CAYm1	CAYm2
Number of students actually admitted through Lateral Entry			
Number of students admitted through Separate Division			
Total Number of students admitted in the second year			

<div align="center">Table A9</div>

Note: Provide student details of the second shift (if applicable)

A10.Total number of employees in the institution:

A10.1. Regular Employees (Faculty and Staff):

Items		CAY		CAYm1		CAYm2	
		Min	Max	Min	Max	Min	Max

Faculty in Pharmacy	**M**						
	F						
Faculty in Science & Humanities	**M**						
	F						
Non-teaching staff	**M**						
	F						

Table A10.1

A10.2. Contractual Staff Employees (Faculty and Staff): (Not covered in Table A10.1):

Items		CAY		CAYm1		CAYm2	
		Min	**Max**	**Min**	**Max**	**Min**	**Max**
Faculty in Pharmacy	**M**						
	F						
Faculty in Science & Humanities	**M**						
	F						
Non-teaching staff	**M**						
	F						

Table A10.2

Note: Specify the reason if there is drop in number of faculty members during the specified academic years.
Provide Faculty details of the second shift (if applicable)

A11. Provide separate Information for each of the program(s) for which compliance is to be submitted

Name of the Department	Name of the programs being offered	Name of the program to be considered	Year of Start	Intake	Increase/Decrease in intake, if any	Year of increase/Decrease	AICTE Approval	Accreditation Status*

Table A11

__ Write applicable one:__*
- Applying first time
- Granted provisional accreditation for two/three years for the period(specify period)
- Granted accreditation for 5 / 6 years for the period (specify period)
- Not accredited (specify visit dates, year)
- Withdrawn (specify visit dates, year)
- Not eligible for accreditation
- Eligible but not applied

PART B- Program Information

B1. Name of the Program _____

B2. Faculty Information and Contributions

Please provide the list of faculty in the program according to the below format as **Appendix I**

S. No.	Name	PAN No.	Qualification	Designation	Date of Joining	Association Type	Currently Associated with (Yes/No)	Date of Leaving (If Associated with is "NO")

Table B2

B.2.1. Student Faculty Ratio (No of Faculty as per the sanctioned intake):-
(To be calculated at Department Level)

No. of UG Programs in the Department (n): _____
No. of PG Programs in the Department (m): _____

No. of Students = Sanctioned Intake (S.I.) + Actual admitted students through Lateral Entry (L.E.), if any
(The above data to be provided considering all the UG and PG programs of the department)

S = Total Number of Students in the Department
F = Total Number of Faculty Members in the Department

Student Faculty Ratio (SFR) = S / F

Name of the 1st UG Program						
	CAY (2016-17)		**CAYm1 (2015-16)**		**CAYm2 (2014-15)**	
Year of Study	**Sanction Intake**	**Actual admitted through lateral entry students**	**Sanction Intake**	**Actual admitted through lateral entry students**	**Sanction Intake**	**Actual admitted through lateral entry students**
1st Year	*S.I. of 2016-17*	*NA*	*S.I. of 2015-16*	*NA*	*S.I. of 2014-15*	NA
2nd Year	*S.I. of 2015-16*	*L.E. Admission of 2016-17*	*S.I. of 2014-15*	*L.E. Admission of 2015-16*	*S.I. of 2013-14*	*L.E. Admission of 2014-15*
3rd Year	*S.I. of 2014-15*	*L.E. Admission of 2015-16*	*S.I. of 2013-14*	*L.E. Admission of 2014-15*	*S.I. of 2012-13*	*L.E. Admission of 2013-14*
4th Year	*S.I. of 2013-14*	*L.E. Admission of 2014-15*	*S.I. of 2012-13*	*L.E. Admission of 2013-14*	*S.I. of 2011-12*	*L.E. Admission of 2011-12*
Sub-Total	**S.I=Sum (1st+2nd+3rd+4th)**	**L.E.=Sum (2nd+3rd+4th)**	**S.I=Sum (1st+2nd+3rd+4th)**	**L.E.=Sum (2nd+3rd+4th)**	**S.I=Sum (1st+2nd+3rd+4th)**	**L.E.=Sum (2nd+3rd+4th)**
Total	**Sum =S.I +L.E.**		**Sum =S.I +L.E.**		**Sum =S.I +L.E.**	

Table B2.1a

Similar table for all other UG Programs

Name of the 1st PG Program			
Year of Study	**CAY (2016-17)**	**CAYm1 (2015-16)**	**CAYm2 (2014-15)**
	Sanction Intake	**Sanction Intake**	**Sanction Intake**
1st Year	*S.I. of 2016-17*	*S.I. of 2015-16*	*S.I. of 2014-15*
2nd Year	*S.I. of 2015-16*	*S.I. of 2014-15*	*S.I. of 2013-14*
Total	**=Sum (1st+2nd)**	**=Sum (1st+2nd)**	**=Sum (1st+2nd)**

<div align="center">Table B2.1b</div>

Similar table for all other PG Programs

<div align="center">Table B2.1c</div>

Description	CAY (2016-17)	CAYm1 (2015-16)	CAYm2 (2014-15)
Total No. of Students in the Department **(S)**	**Sum total of all (UG + PG) students** (From table B2.1a and B2.1b)	**Sum total of all (UG + PG) students** (From table B2.1a and B2.1b)	**Sum total of all (UG + PG) students** (From table B2.1a and B2.1b)
No. of Faculty in the Department **(F)**	**F1**	**F2**	**F3**
Student Faculty Ratio (SFR)	**SFR1=S1/F1**	**SFR2= S2/F2**	**SFR3= S3/F3**
Average SFR	**SFR=(SFR1+SFR2+SFR3)/3**		

Note: The years mentioned in the headers are exemplary. Institute has to consider the years as per the definition of the CAY, CAYm1 and CAYm2.

B2.2. Faculty Information

S. No.	Faculty Details	Number of Faculty in the Department for both UG and PG		
		CAY	**CAYm1**	**CAYm2**
1.	**Professor**			
2.	**Associate Professor**			
3.	**Assistant Professor**			
4.	**Number of Ph. D** (as per the AICTE norms)			

<div align="center">Table B2.2</div>

B2.3. Faculty Cadre Proportion

The reference Faculty cadre proportion is 1(F1):2(F2):6(F3)

F1: Number of Professors required = 1/9 x Number of Faculty required to comply with 15:1 Student-Faculty ratio based on no. of students (N) as per B2.1

F2: Number of Associate Professors required = 2/9 x Number of Faculty required to comply with 15:1 Student-Faculty ratio based on no. of students (N) as per B2.1

F3: Number of Assistant Professors required = 6/9 x Number of Faculty required to comply with 15:1 Student-Faculty ratio based on no. of students (N) as per B2.1

Year	Professors		Associate Professors		Assistant Professors	
	Required F1	Available	Required F2	Available	Required F3	Available
CAY						
CAYm1						
CAYm2						
Average Numbers	RF1=	AF1=	RF2=	AF2=	RF3=	AF3=

Table B2.3

B2.4. Faculty as participants in Faculty development/training activities/STTPs

Name of the Faculty	Details of the participation (Faculty development/training activities/STTPs)		
	CAYm1	CAYm2	CAYm3

Table B2.4

B2.5. Research and Development

Name of the faculty	Academic Research			
	Number of quality publications in refereed/SCI Journals, citations, Books/Book Chapters etc.		Ph.D. guided /Ph.D. awarded during the assessment period while working in the institute	
	As provided in SAR	After evaluation (till the date of compliance report)	As provided in SAR	After evaluation (till the date of compliance report)

Table B2.5

B2.6. Sponsored Research/Consultancy

(I) Details as provided in the SAR previously

Name of the faculty	Project Title	Project Type Research/Consultancy	Funding Agency	Amount	Duration

(II) Details after evaluation (till the date of Compliance Report)

Name of the faculty	Project Title	Project Type Research/Consultancy	Funding Agency	Amount	Duration

Table B2.6(II)

B3. Students' Performance

Student Intake Table

Item (Information to be provided cumulatively for all the shifts with explicit headings, wherever applicable)	CAY	CAYm1	CAYm2	CAYm3
Sanctioned intake of the program (N)				
Total number of students admitted in first year *minus* number of students migrated to other programs/institutions, plus no. of students migrated to this program ($N1$)				
Number of students actually admitted in 2nd year in the same batch via lateral entry ($N2$)				
Separate division students, if applicable (N3)				
Total number of students admitted in the Program ($N1 + N2 + $ N3)				

Table B3a

Academic Performance Table

Year of entry	$N1 + N2 + $ N3 (As defined above)	Number of students who have successfully graduated			
		I Year	II Year	III Year	IV Year
CAY					
CAYm1					
CAY*m2*					
CAY*m3*					
CAY*m4* (LYG)					
CAY*m5* (LYG*m*1)					
CAY*m6* (LYG*m*2)					

Table B3b

B3.1. Success rate without backlog in stipulated period

SI= (Number of students who graduated from the program without backlog in the stipulated period of course duration)/ (Number of students admitted in the first year of that batch and actually admitted in 2nd year via lateral entry and separate division, if applicable)

Item	LYG (CAYm3)	LYG*m*1(CAYm4)	LYG*m*2 (CAYm5)
Number of students admitted in the corresponding First Year + actually admitted in 2nd year via lateral entry and separate division, if applicable			
Number of students who have graduated without backlogs in the stipulated period			
Success Index (SI)			

Table B3.1

B3.2. Success rate with backlog in stipulated period of study

SI= (Number of students who graduated from the program with backlog in the stipulated period of course duration)/ (Number of students admitted in the first year of that batch and actually admitted in 2nd year via lateral entry and separate division, if applicable)

Item	LYG (CAYm3)	LYG*m*1(CAYm4)	LYG*m*2 (CAYm5)
Number of students admitted in the corresponding First Year + actually admitted in 2nd year via lateral entry and separate division, if applicable			
Number of students who have graduated with backlogs in the stipulated period			
Success Index (SI)			

Table B3.2

B3.3. First Year Academic Performance

Academic Performance = ((Mean of 1ˢᵗ Year Grade Point Average of all successful Students on a 10 point scale) or (Mean of the percentage of marks in First Year of all successful students/10)) x (number of successful students/number of students appeared in the examination)

Successful students are those who are permitted to proceed to the second year.

Academic Performance	CAYm1	CAYm2	CAYm3
Mean of CGPA or Mean Percentage of all successful students (X)			
Total no. of successful students (Y)			
Total no. of students appeared in the examination (Z)			
API = x* (Y/Z)	AP 1	AP 2	AP 3
Average API = (AP1 + AP2 + AP3)/3			

Table B3.3

B3.4. Academic Performance in Second Year

API *= ((Mean of 2ⁿᵈ Year Grade Point Average of all successful Students on a 10 point scale) or (Mean of the percentage of marks of all successful students in Second Year/10)) x (number of successful students/number of students appeared in the examination)*

Successful students are those who are permitted to proceed to the Third year.

Academic Performance	CAYm1	CAYm2	CAYm3
Mean of CGPA or Mean Percentage of all successful students (X)			

Total no. of successful students (Y)			
Total no. of students appeared in the examination (Z)			
API = X* (Y/Z)	AP1	AP2	AP3
Average API = (AP1 + AP2 + AP3)/3			

<div align="center">

Table B3.4

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B3.5. Academic Performance in Third Year

API = ((Mean of 3rd Year Grade Point Average of all successful Students on a 10 point scale) or (Mean of the percentage of marks of all successful students in Third Year/10)) x (number of successful students/number of students appeared in the examination)

Successful students are those who are permitted to proceed to the final year.

Academic Performance	CAYm1	CAYm2	CAYm3
Mean of CGPA or Mean Percentage of all successful students (X)			
Total no. of successful students (Y)			
Total no. of students appeared in the examination (Z)			
API = x* (Y/Z)	AP 1	AP 2	AP 3
Average API = (AP1 + AP2 + AP3)/3			

<div align="center">

Table B3.5

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B3.6. Placement, Higher Studies and Entrepreneurship

Item	CAYm1	CAY*m2*	CAY*m3*
Total No. of Final Year Students (N)			
No. of students placed in Industries/Hospitals/Government Sector through on/off Campus recruitment or opted for Entrepreneurship (x)			
No. of students admitted to higher studies with valid scores in various qualifying exams (y)			
x + y =			
Placement Index : (x + y)/N	P1	P2	P3
Average placement= (P1 + P2 + P3)/3			

<div align="center">

Table B3.6

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C. Criterion wise Compliance Status

S.N.	Criteria	Observations made by NBA (During the last accreditation visit)	Compliance Status (Action taken by the institution)
1	**Vision, Mission & PEOs**		
1.1.	Formulation		
1.2.	Dissemination		
1.3.	Assessment		
1.4.	Any other observations of the NBA		
2	**Course outcome and Program outcomes**		
2.1.	Formulation		
2.2.	Mapping		
2.3.	Any other observations of the NBA		
3.	**Curriculum Design, if applicable**		
3.1.	Process to identify the gap, if applicable and action taken thereof		
3.2.	Curriculum Structure & Component (as applicable)		
3.3.	Any other observations of the NBA		
4	**Details of the Action taken on the Observation of NBA during last visit:**		

Table C

Declaration

It is hereby declared that information provided in this Compliance Report is factually correct. I understand and agree that an appropriate action against the Institute will be initiated by the NBA (which may include debarring the institution for three years), in case any false statement/information is observed during the assessment of the compliance report.

Date: **Signature & Name**

Place: **Head of the Institution with seal**